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To: USPTO

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#### FACSIMILE COVER SHEET

	Deliver to: Gail O. Hayes, USPTO	Art Group:2131
Fac	simile No.: <u>703-872-9306</u>	Date: December 3, 2004
	From: William W. Schaal, Reg. No.	39,018
Our I	Docket No.: <u>42390P10794</u>	Number of pages <u>13</u> including this sheet.
Appli	ication No.: 10/085,839	Filing Date: 2/25/2002
• •		Docket Due Date(s): 12/10/2004
Enclo	osed are the following documents:	
	Amendment: (pgs)	☐ Issue Fee Transmittal
掛	Appeal Brief (in triplicate) (pgs)	☐ Notice of Appeal
関	Application:	Petition for:
	(pgs) w/cover & abstract)	☐ Request for Continued Examination (RCE)
	Assignment & Cover Sheet (pgs)	Reply Brief (pgs)
<b>1</b> 23	Certificate of Eacsimile	☐ Request & Certification Under 35 USC 122(b)(2)(B)(i)
	Continued Prosecution Application (CPA)	Request to Rescind Previous Nonpublication Request
	Declaration & POA (pgs)	☐ Response to Notice of Missing Parts & Formalities Letter
	Drawings: sheets, figures	Response to Written Opinion (pgs)
	Extension of Time:	☐ Terminal Disclaimer
×	Fee Transmittal (in duplicate)	☐ Transmittal of Publication Fee Due
×	IDS & PTO/SB/08 ( 3 pgs)	▼ Transmittal Letter
×	Other Copy of Written Opinion	

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Date

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If you do not receive all the pages, or if there is any difficulty in receiving, please call: (503) 439-8778 and ask for Susan McFarlane.

TD ANOMITTAL E		Application No.	10/085,839			
TRANSMITTAL F	JKW	Filing Date	February 25, 2002			
(to be used for all correspondence after	r initial filing)	First Named Inventor	Michael A. Kozuch			
		Art Unit	2131			
		Examiner Name	Gail O. Hayes			
Total Number of Pages in This Submissi	on 12	Attorney Docket Number	42390P10794			
ENCLO	SURES (chec	k all that apply)				
Fee Transmittal Form	Drawing(s)		After Allowance Communication to Group			
Fee Attached	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s)	Petition to ( Provisional	Convert a Application	Proprietary Information			
Extension of Time Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter			
Express Abandonment Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):			
Information Disclosure Statement	Request for	Request for Refund Copy of PCT Writt Opinion				
PTO/SB/08	CD, Numbe	er of CD(s)				
Certified Copy of Priority Document(s)						
Response to Missing Parts/ Incomplete Application	Remarks	1				
Basic Filing Fee	-					
Dedaration/POA						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURI	OF APPLICAN	IT, ATTORNEY, OR AC	SENT			
Firm William W. Sch	aal, Reg. No.	39,018				
or Individual name BLAKELY, S	økoloff, 1	TAYLOR & ZAFM	AN LLP			
Signature	-h/					
Date December 3, 2004						
CERTIFI	CATE OF MAIL	ING/TRANSMISSION				
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Typed or printed name Susan McFa	rlane					
Signature Susa	Mid	me [	Date December 3, 2004			

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					Complete if Known	<del></del>
<b>FEE TRANSMITTAL</b>		Application Number 10/085,839				
for FY 2004		<u> </u>		HIDE		
Effective 01/01/2004. Patent face are subject to annual revision.		Filing Date First Named Inventor			February 25, 2002	
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Applicant claims small entity status. See 37 CFR 1.27		Examin	ei ivan	18	Gail O. Hayes	
TOTAL AMOUNT OF PAYMENT (\$)		Art Unit Attorne	Dočk	ot No	2131 42390P10794	<del></del>
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Account Name Blakely, Sokoloff, Taylor & Zafman LLP	]   20	130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	18	312 2.520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	18	304 920°	1804	920	<ul> <li>Requesting publication of SIR prior to Examiner action</li> </ul>	
Charge any additional fee(s) or underpayment of fees as required under 37	1,	305 <b>1,840</b> °	1805	1,840	* Requesting publication of SIR after	
CFR §§ 1.16, 1 17, 1 18 and 1 20 Charge fea(s) indicated below, except for the filing for					Examiner action	
to the above-identified deposit account	12	51 110	2251	56		
FEE CALCULATION	12	52 430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE	12	253 980	2253	490	Extension for reply within third month	
Large Entity Small Entity	12	54 1,530	2254	785	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Pescription Fee P	tici 12	55 2,080	2255	1,040	Extension for reply within fifth month	
	14	04 340	2401	170	Notice of Appeal	
1001 790 2001 395 Utilay filling fee 1002 350 2002 175 Design filling fee		02 340	2402	170	Filing a brief in support of an appeal	
1003 550 2003 275 Plant filing fee	14	03 300	2403	150	Request for oral hearing	
1004 790 2004 395 Reissue filing fee	- 14	5; 1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	14	52 110	2452	55	Potition to revive - unavoidable	
SUBTOTAL (1) (5)	<b>=</b>   ₁₄	53 1,370	2453	685	Petition to revive - unintentional	
\$08101AE(1) (5)	15	01 1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	15	02 490	2502	245	Design issue foe	
Claims below Fee P	iid 15	660	2503	330	Plant issue fee	
Total Ctaims X =	14	60 130	2460	130	Petitions to the Commissioner	
Independent 3 = X	19	07 50	1807	60	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	18	06 180	1806	180	Submission of Information Disclosure Start	
Large Entity Small Entity	80	21 40	8021	40	Recording each patent assignment per proporties)	
Fee	18	on 790	1809	395	Filing a submission after final rejection (37 CFR § 1 129(a))	
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	18	10 790	2810	395	For each additional invention to be	<del></del>
1203 300 2203 150 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
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original patent						
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**or number previously paid, if greater, For Reissues, son tratow		and by Basic Fi	- græra	~	SUBTOTAL (3) (5)	

SUBMITTED E	BY				Comp	lete (if applicable)
Name (Print/Type)	William W Schaal	/	Registration No. (Attorney/Agent)	39,018	Telephone	(503) 439-8778
Signature	11-11-				Date	12/03/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor & Zalman (wir) 02/10/2004. SEND TO Commissioner for Patents F.O. Box 1450, Alexandria, VA 22313-1450

DEC-03-2004 14:18 From:BSTZ

FEE TRANSMITTAL		L			Complete i	f Known		
		Application Number 10/085,839						
for FY 2004		Filing Da	te			ruary 25, 20		
Effective 01/01/2004. Patent fees are subject to annual revision.		First Nan				chael A. Ko	zuch	
Applicant claims small entity status. See 37 CFR 1.27.	1	Examine	r Name	<b>∌</b> .		l O. Hayes		
TOTAL AMOUNT OF PAYMENT (\$)		Art Unit Attorney	Dooko	t No	213	90P10794		
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METHOD OF PAYMENT (check all that apply)	<u> </u>				E CALCULAT	ION (continu	ed)	
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Deposit Account 02-2666	Coc	do (\$)	Code	(\$)	F	ee Description		Fee Paid
Number 02-2000	105		2051	65	Surcharge - late film	-		
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Account Name Blakely, Sokoloff, Taylor & Zafman LLP	205		2053	130	Non-English specific			
The Commissioner is authorized to: ( check all that apply)	181		1812	920	For filing a request t  Requesting publicat	· ·	natron	
Charge fee(s) indicated below Credit any overpayments	180	14 920	1804	320	Examiner action	IOH O: SIK PHIA LE		
Charge any additional (ae(s) or underpayment of fees an required under 37 CFR §§ 1,16, 1,17, 1,18 and 1,20.	180	5 1,849	1805	1.840	Requesting publicat	ion of SIR after		
Charge fee(s) indicated below, except for the filling fee to the above-identified disposit account	125	1 110	2251	55	Extension for reply v	vithin first month	•	
FEE CALCULATION	125		2252	215	Extension for roply v			
1. BASIC FILING FEE	125		2253	490	Extension for reply v	vithin third month		
Lerge Entity Small Entity	125	4 1,530	2254	765	Extension for reply v	within fourth month		
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	140	4 340	2401	170	Notice of Appeal			
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1003 550 2003 275 Plant filing fee	140		2403	160	Request for oral hea			
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1005 160 2005 80 Provisional filing fee	145		2452	55 885	Petition to revive - u  Petition to revive - u			
SUBTOTAL (1) (5)	145 150		2453 2501	685	Utility issue fee (or r			
2. EXTRA CLAIM FEES Extra Fee from	150		2502	245	Design issue fee			
2. EXTRA CLAUNT FEES Edga Fee from . Chaires below Fee Paid	150	3 660	2503	330	Plant issue fee			
Total Clarins X	146	0 130	2460	130	Petitions to the Corr	nmissioner		
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Foe Fee Fee Fee Description Code (5)	180	9 790	1809	395	Filing a submission a (37 CFR § 1.129(a))			
1202 16 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	181	0 790	2610	395	For each additional is	nvention to be		
1203 300 2203 150 Multiple Dependent claim, if not paid	1				examined (37 CFR §		<b>.</b> .	
1204 88 2204 44 **Reissue independent claims over original	180		2801		Request for Continue		E)	
patent	1800		1802	900	Request for expedito of a design application			
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SUBTOTAL (2) (5)	*Reduc	od by Basic Film	g Foe Paid	1		SUBTOTAL (3)	(\$)	
or number previously paid, if greater, For Relssues, see below	<u> </u>							
SUBMITTED BY		<u> </u>				Сотр	lete (if applic	
Name (PnnvType) William W Schaal		Registration (Altomey/Age		3	9,018	Telephone	(503) 43	39-8778
Signature						Date	12/0	)3/04
Based on PTC/SB/17 (10-03) as modified by Blakely, Schoolf, Taylor & Zalman (wir) 02/10	/2004				ı			
SEND TO. Commissioner & Patents, P.O. Box 1450, Alexandria, VA 22313-1450								

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Art Group: 2131

Examiner:

Docket No.: 42390P10794

Gail O. Hayes

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICHAEL A. KOZUCH, ET AL.

Application No.: 10/085,839

Filed: February 25, 2002

For: METHOD AND APPARATUS FOR

LOADING A TRUSTABLE OPERATING

**SYSTEM** 

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#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated September 10, 2004 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fcc Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAHMAN LLP

Date: December 03, 2004

William W. Schaal, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 439-8778

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sy Storifal 12-03-04

McFarlane Dat

Substitute for form 1449A/PTO				Complete if Known			
			CHDE	Application Number	10/085,839		
INFORMATION DISCLOSURE				Filing Date	February 25, 2002		
STATEMENT BY APPLICANT (use as many sheets as necessary)			ICANT	First Named Inventor	Michael A. Kozuch		
				Art Unit	2131		
				Examiner Name	Gail O. Hayes		
Sheet	Sheet 1 of 1		Attorney Docket Number	42390P10794			

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			U.S. PATE	NT DOCUMENTS	
Examiner Instals*	Cite No.'	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,298,443 B1	10-02-2001	Colligan, et al.	_
		US-2001/0056533 A1	12-27-2001	Yianilos, ct al.	
		US-2002/0023212 A1	02-21-2002	Proudler	
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FOREIGN PATENT DOCUMENTS								
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Initials*	No.'	Country Code' - Number' - Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relovant Figures Appea			
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Signature	Considered	

\*Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

'Applicant's unique distron designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) 'For Japanese patent documents; the indication of the year of reign of the Emperor must precode the sensi humber of the patent document. 'Kind of document by the appropriate symbols as indicated on the discurrent under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (Wr) 08/11/2003

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